

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 04-458

**CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 29 September 2004 29 September 2003 PCT/US2004/032038 TITLE OF INVENTION Compliant Stack for a Planar Solid Oxide Fuel Cell APPLICANT(S) FOR DO/EO/US Sunil G. Warrier et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔽 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. L A power of attorney and/or change of address letter. 17. l A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18 L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

AP17 Rec'd PCT/PTO 2 7 APR 2006

PTO-1390 (Rev. 07-2005)

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U.S. APPLICA	10/577754 PCT/US2004/032038		04-458				
20. Other items or information: Petition for Revival							
The foll	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY	
l <u>—</u>	ic national fee (37	\$300.00					
22.							
by IPĖA/	nion prepared by IS IUS indicates all cl	<b>\$</b> 200.00					
If the written opin IPEA/US Search fee (37 C International Sea previously	rch fee (37 CFR 1 nion of the ISA/US indicates all claim FR 1.445(a)(2)) honal Searching Au rich Report prepar y communicated to	\$100.00					
All other situations							
sequenc electroni	e for specification e listing in complia c medium) (37 CF s \$250 for each ac						
Total Sheets	Extra Sheets			RATE			
- 100 =	/50 =			× \$250	\$0.00	l	
	30.00 for furnishing commencement of	\$0.00					
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		17 - 20 =	0	x \$50	\$0.00		
Independent claims 2 - 3:		2 - 3 =	0	x \$200	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$360					\$0.00		
		\$600.00					
Applicant cla	ims small entity st						
	_	\$600.00					
	f <b>\$130.00</b> for furni: late (37 CFR 1.492	\$0.00					
		\$600.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$40.00		
		\$640.00					
					Amount to be refunded:	\$	
					Amount to be charged	\$	

## 10/577754

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a. 🗸	A check in the amount of \$ 2,140.00 to cover the above	ove fees is enclosed. (which includes the Petition Fee)				
ь. 🗆	Please charge my Deposit Account No in the amount of A duplicate copy of this sheet is enclosed.	\$ to cover the above fees.				
c. 🔽	The Commissioner is hereby authorized to charge any additional fees we account No. <u>02-0184</u> . A duplicate copy of this sheet is enclosed.	nich may be required, or credit any overpayment to Deposit				
d. 🔲	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
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	LL CORRESPONDENCE TO: rge A. Coury	10/7				
	HMAN & LAPOINTE, P.C.	George A. Coury				
	Chapel Street, Suite 1201 Haven, CT 06510-2889	NAME 34,309				
Fax:	ephone: (203) 777-6628 : (203)865-0297	REGISTRATION NUMBER  I, Robyn Vaughn, hereby certify that this correspondence is being deposited with the  U.S. Postal Service as Express Mail in an				
ьma:	il: Docket@bachlap.com	envelope addressed to: "Mail Stop PCT,  Commissioner for Patents, P.O. Box 1450,  Alexandria, VA 22313 you April 27 , 2006.  Express Mail No. Ex 690111202 US				